

RS-0000A-98-0240 (Invot. Mgmt. Rules)

for

## NOTICE OF RULEMAKING DOCKET OPENING

- 1. Agency Name: Arizona Corporation Commission, Securities Division
- 2. <u>Title, Chapter, Subchapters (if applicable), the Articles, the Parts (if applicable), and the Sections involved in the rulemaking:</u>

Title and its Heading: Title 14, Public Service Corporations;

Corporations and Associations; Securities Regulation

Chapter and its Heading: Chapter 6; Investment Management

Articles and their Headings: Article 1. General Provisions Relating to

the Arizona Investment Management Act; Articles 2. Duties of

Investment Advisers and Investment Adviser Representatives

Articles and Sections	Action	
A.A.C. R14-6-101	Repeal	
A.A.C. R14-6-102	Repeal 2 8	
A.A.C. R14-6-103	Repeal	
A.A.C. R14-6-104	Repeal S	
A.A.C. R14-6-201	Repeal S 2	
A.A.C. R14-6-202	Peneal	
A.A.C. R14-6-203	Repeal 3 2	
A.A.C. R14-6-204	Repeal 🔭 😩 🗟	
A.A.C. R14-6-205	Repeal	
A.A.C. R14-6-206	Repeal	
A.A.C. R14-6-207	Repeal	
A.A.C. R14-6-208	Repeal	
A.A.C. R14-6-209	Repeal	
A.A.C. R14-6-101	New section Corporation Com	
A.A.C. R14-6-102	New section DOCKETE	
A.A.C. R14-6-103	New section	U
A.A.C. R14-6-104	New section	_
A.A.C. R14-6-201	New section MAY 2 6 199	В



A.A.C. R14-6-202	New section
A.A.C. R14-6-203	New section
A.A.C. R14-6-204	New section
A.A.C. R14-6-205	New section
A.A.C. R14-6-206	New section
A.A.C. R14-6-207	New section
A.A.C. R14-6-208	New section
A.A.C. R14-6-209	New section
A.A.C. R14-6-210	New section